

JCWSCS 28 JUN 2004

06/28/2004 09:11

8053730051

KOPPEL & JACOBS

PAGE 01/28

KOPPEL, JACOBS, PATRICK & HEYBL

AN ASSOCIATION OF PROFESSIONAL LAW CORPORATIONS

RICHARD S. KOPPEL MARVIN E. JACOBS, P.C. STEVEN C. PATRICK JAYE G. HEYBL JAMES K. DAWSON Scnior Counsel MICHAEL J. RAM, D. Sc. LOUIS A. MOK ANNA M. VRADENBURGH Patent Agents JOSEPH COMPTON GREG L. MARTINEZ. Of Counsel

JILL R. HEYBL

555 ST. CHARLES DRIVE, SUITE 107 THOUSAND OAKS, CALIFORNIA 91360 PHONE (805) 373-0060 FAX (805) 373-0051

> PATENTS TRADEMARKS COPYRIGHTS

E-mail: jheybl@koppclpatent.com

Santa Barbara Office 812 Presidio Avenue Santa Barbara, CA 93101 Phone (805) 569-1964

FAX COVER SHEET

DATE:

JUNE 28, 2004

FROM:

JAYE G. HEYBL

TQ:

OFFICE OF INITIAL PATENT EXAMINATION'S

FILING RECEIPT CORRECTIONS

RE:

MULTI ELEMENT, MULTI COLOR SOLID STATE LED/LASER

DOCKET: P0149US2-7

FAX NO.: (703) 746-9195

MESSAGE:

PLEASE CONFIRM RECEIPT OF ATTACHED DOCUMENTS

NUMBER OF PAGES (Including cover sheet)

If you have any problems receiving this FAX, please call (805) 373-0060.

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED OR CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE.

Docket No. P0149US2-7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DENBAARS et al.

Serial No. 10/815,426 Filed: March 31, 2004

Title: MULTI ELEMENT, MULTI COLOR SOLID STATE LED/LASER

Via Facsimile No. (703) 746-9195 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attn: Office of Initial Patent Examination's Filing Receipt Corrections

REQUEST FOR FILING RECEIPT CORRECTIONS

Sir:

We received the "Official Filing Receipt" for the above identified divisional application. According to the attached filing receipt, this application was assigned to Nitres, Inc.. When filing the divisional application on March 31, 2004, we enclosed copies of the Assignment to Nitres, Inc., Change of Name to Cree Lighting Company and Merger to Cree, Inc. (copies attached). However, Cree, Inc. is not reflected on the attached filing receipt. Please correct the assignment portion of the filing receipt.

Respectfully submitted,

Date: 6/28/04

Jaye G. Heybl // Registration No. 42,661 Attorney for Applicant

KOPPEL, JACOBS, PATRICK & HEYBL 555 St. Charles Drive, Suite 107 Thousand Oaks, California 91360 (805) 373-0060

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any papers referred to as being attached or enclosed) is being facsimile transmitted: (703) 746-9195 to the United States Patent and Trademark Office on the date shown below:

P 58 DH

Marianne Middleton

8053730051



UNITED STATES DEPARTMENT OF COMMERCE Driled Status Patent and Tendermack Office Address COMMERCE FOR PATENTS One 140 Alexandra, Vincaire 2010-110 www.mph.sec

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY, DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
10/815,426	03/31/2004	2879	1140	P0149US2-7	4	31	5	

CONFIRMATION NO. 7679

KOPPEL & JACOBS, PATRICK & HEYBL SUITE 107 555 ST, CHARLES DRIVE THOUSAND OAKS, CA 91360

FILING RECEIPT *OC000000012928671*

Date Mailed: 06/14/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate). RECEIVED

Applicant(s)

Steven P. DenBaars, Goleta, CA; Eric J. Tarsa, Goleta, CA; Michael Mack, Santa Barbara, CA; Bernd Keller, Goleta, CA; Brian Thibeault, Santa Barbara, CA; JUN 16 2004

KOPPEL, JACOBS, PATRICK & HEYBL

Assignment For Published Patent Application

NITRES, INC.; < (CREE, INC.)

CHARLENGAMES TO SHEY

Domestic Priority data as claimed by applicant

This application is a DIV of 09/528,262 03/17/2000

Foreign Applications

If Required, Foreign Filing License Granted: 06/10/2004

Projected Publication Date: 09/23/2004

Non-Publication Request: No

Early Publication Request: No

CALENDARED FOR

ACTION

CALENDARED FOR

CALENDARÆNTRY

CALENDARED FOR

ACTION

PAGE 3/28 * RCVD AT 6/28/2004 12:11:38 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/1 * DNIS:7469195 * CSID:8053730051 * DURATION (mm-ss):08-32*

Page 2 of 2

PAGE 04/28

Title

Multi element, multi color solid state LED/laser

Preliminary Class

313

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).